

PATENT
Docket No. 359872000821
Client Ref. VIRO-34

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JRP
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Assistant Commissioner for Patents, Washington, D.C. 20231 on September 18, 2000.

Jinny Nguyen
Jinny Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

124

In the application of:

Gilles H. TAPOLSKY and David W.
OSBORNE

Serial No.: 09/069,703

Filing Date: April 29, 1998

For: PHARMACEUTICAL CARRIER
DEVICE SUITABLE FOR DELIVERY
OF PHARMACEUTICAL
COMPOUNDS TO MUCOSAL
SURFACES

Examiner: E. Webman

Group Art Unit: 1617

09/27/2000 EFLORES 00000039 09069703

02 FC:126

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☒ A fee is required. A check in the amount of \$240.00 is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 359872000821. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 18, 2000

Respectfully submitted,

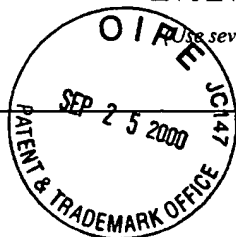
By: Catherine J. Kara
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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)



Docket Number 359872000821

Application Number 09/069,703

Applicant

Gilles H. TAPOLSKY and David W. OSBORNE

Filing Date April 29, 1998

Group Art Unit 1617

Mailing Date September 18, 2000

COPY

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/05/91	4,990,339	Scholl et al.			
	2.	07/14/98	5,780,047	Kamiya et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	2.						

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	3.	

Handwritten signature/initials across the bottom section.

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EXAMINER: (examiner)

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.